



TOOL 1.1

INDICATIVE ROADMAP FOR THE EX-ANTE EVALUATION AND STRATEGIC ENVIRONMENTAL ASSESSMENT OF THE CAP STRATEGIC PLAN

12 JUNE 2019

Disclaimer: *This tool has been prepared by evaluation experts based on good practice available from the current programming period. The document has been consulted with a Sounding Board including Member States' representatives in April 2019 and has been reviewed in line with the comments received. This document is non-binding and only intended to facilitate the work of evaluators and managing authorities in the context of preparing the ex-ante evaluation of the CAP Strategic Plans. The document is based on the legal proposal for the CAP Strategic Plan Regulation, COM/2018/392 final and does not anticipate any content of any legislative act. It has no interpretative value.*

THEMATIC WORKING GROUP NO 7

'PREPARING FOR THE EX-ANTE EVALUATION OF THE CAP STRATEGIC PLAN'





Intro

The following slides show possible ways of organizing the Ex-ante evaluation and Strategic Environmental Assessment (SEA) as interlinked exercises to the programming of the CAP Strategic Plan:

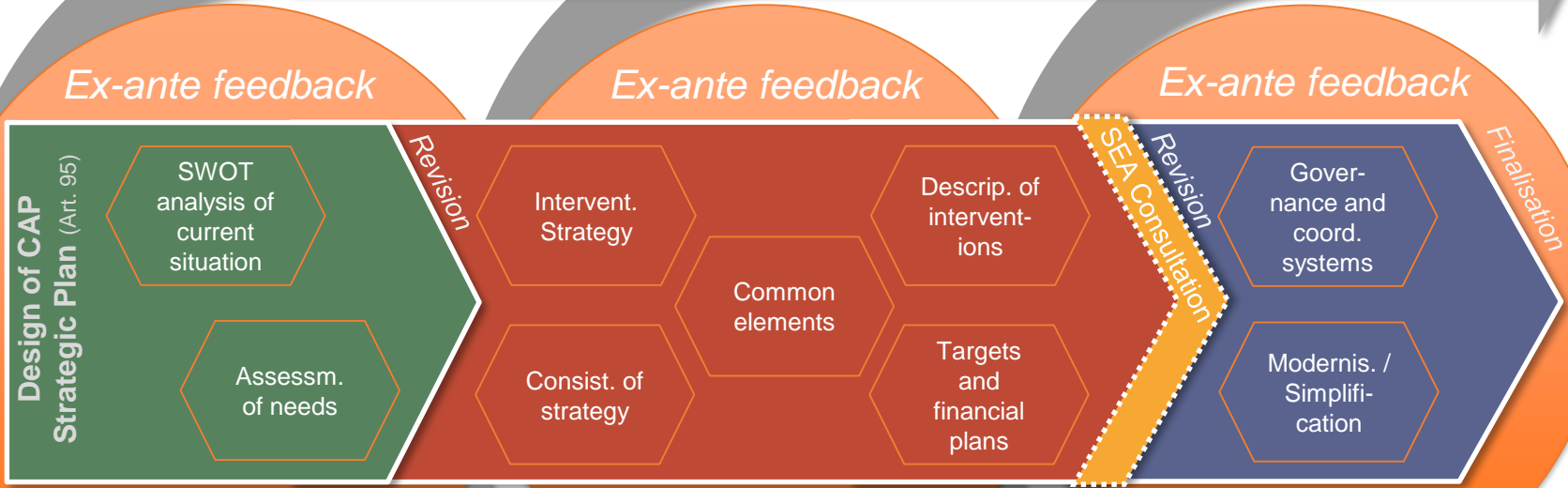
- **The first figure** focuses on the Ex-ante evaluation. It provides step-by-step feedback along the preparation of the single chapters of the CAP Strategic Plan, keeping in mind the consistency and living character of the whole CAP Strategic Plan until its submission.
- **The second figure** focuses on the SEA, providing an iterative feedback on the CAP Strategic Plan from an environmental point of view, covering the various elements, reporting and consultation requirements included in the SEA directive and its national transpositions.

Ex-ante evaluation (incl. SEA) of CAP Strategic Plan

Based on COM (2018)392 final and Directive 2001/42/EC



- 1** Appraisal of the assessment of needs incl. SWOT
- 2** Appraisal of the intervention strategy, targets, milestones
- 3** Appraisal of monitoring, data collection, implementation system



Submission of CAP Strategic Plan incl. Ex-ante and SEA

- **Consideration of national and regional needs and potentials for development** (Art. 125.3.a)
 - Assessment of needs (Art. 96): summary SWOT (Art. 103), identification of needs for specific objectives, assessment of needs for risk management, specific needs of vulnerable geogr. areas, prioritisation and ranking of needs
- **Lessons learned from implementation of the CAP in previous programming periods taken into account** (Art. 125.3.a)
- **Contribution to CAP specific objectives** (Art. 125.3.a)
- **Internal coherence and relationship with other instruments** (Art. 125.3.b)
- **Consistency of budgetary resources with specific objectives** (Art. 125.3.c)
- **Contribution of outputs to results** (Art. 125.3.d)
- **Realistic target values for results** (Art. 125.3.e)
- **Suitability of milestones for PF** (Art. 125.3.h)
- **Rationale for use of financial instruments financed by the EAFRD** (Art. 125.3.j)
- **Adequacy of human resources and administrative capacity** (Art. 125.3.f)
- **Suitability of procedures for monitoring and for collecting data to carry out evaluation** (Art. 125.3.g)
- **Adequacy of measures to reduce administrative burden** (Art. 125.3.i)

SEA and Ex-ante evaluation of CAP Strategic Plan

Based on COM (2018)392 final and Directive 2001/42/EC

1 Appraisal of the assessment of needs incl. SWOT

2 Appraisal of the intervention strategy, targets, milestones

3 Appraisal of monitoring, data collection, implementation system

